08.28.02 Receipt

Express Mail # EK 031055361 Date 02/27/02 Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Papenwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number 09/930,000 Applicati n Number 08/14/2001 **Filing Date** Paul Dixon **First Named Inventor** Group Art Unit isèd\for-all correspondence after initial filing) **Examiner Name** 2943 Attorney Docket Number Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Drawing(s) Fee Attached of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Provisional Application Affidavits/declaration(s) Status Letter Power of Attorney, Revecation Ghange of Correspondence Other Enclosure(s) (please **Extension of Time Request** Address identify below): **Terminal Disclaimer Express Abandonment Request** Request for Refund Information Disclosure Statement CD, Number of CD(s). Certified Copy of Priority Remarks Document(s) Petition to Office of Initial Patent Examination Customer Response to Missing Parts/ Incomplete Application Service Center to Corrrect Filing Receipt Response to Missing Parts under 37 CFR 1.52 or 1.53 Express Mail Certificate # EK031055361 Date 02/27/02 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Lorraine M. Donaldson, Esq. Individual name Signature 02/27/2002 Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: Typed or printed name Signature Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

	Response T Notice To File Missing Parts Filing Date Granted (PTO-1533)(Sma	Docket No. 2943								
In Re Application of 1 P										
	Serial Nog FEB 2 7 2002 & Filing Date 08/14/2002	Examiner		Group Art Unit 2841						
Invention:										
Universal Laboratory Prototyping Interface System										
TO THE ASSISTANT COMMISSIONER FOR PATENTS:										
Box Missing Parts										
This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on										
<u>09/27/2001</u> ·										
Date										
Enclosed herewith for filing are the following:										
×	A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED)									
X	An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.									
×	A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.									
	An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.									
· 🗆	A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.									
	verified small entity declaration(s)									
	☐ is/are attached.									
,	was/were filed on									
	A separate request for refund.									
×	Other (list):									
	Three month Extension of Time to extend to 02/27/02									
]										

above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

Ц	One month	☐ Two months	X	Inree months	☐ Four months	☐ Five monu	
from:11/27/01			until: _02/27/02				
				Total time extension fees			

460

Total fees due

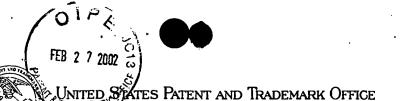
\$525.00

I certify that this document and fee is being deposited on with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

CC:

Typed or Printed Name of Person Mailing Correspondence





COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 www.uspto.gov

APPLICATION NUMBER FIRST NAMED APPLICANT FILING/RECEIPT DATE ATTORNEY DOCKET NUMBER

09/930.000

Lorraine M. Donaldson, Esq.

281 Sea Forest Court

Del Mar, CA 92014

MAIL

Paul Dixon

2943

**CONFIRMATION NO. 9097 FORMALITIES LETTER** 

OC000000006800008\*

Date Mailed: 09/27/2001

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice <u>MUST</u> be returned with the reply.

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01 AC:203

35.00 02

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

Express Mail # EK 031055361 Date 02/27/02

ELLED

In The United States Patent & Trademark Office

Petition to Correct Filing Receipt

Applicant(s): Dixon, Ushe

Application No: 09/930,000 – Utility Patent Application

Entitled: UNIVERSAL LABORATORY PROTOTYPING INTERFACE SYSTEM

Date Filed: 08/14/2001

Group Art Unit: 355

Provisional Patent Appln: 06/225,228

Date of Provisional Appln Filing: 08/15/2000

Applicants have been notified of the 'inconsistent data' in the Provisional Patent Application, and hereby request correction of the Filing Receipt received in the 'Notice to File Missing Parts'. The Provisional Application having a Serial No. 60/225,228, was filed on August 15, 2000. The named inventors are Paul Dixon, PhD. And Tim Usher, PhD.

The Provisional Application received a Notice to File a Missing Parts of Provisional Application. The date the Notice was mailed was **November 03, 2000**.

Therefore, the Response to the Notice was due in the United States Patent & Trademark Office by January 03, 2001. Applicant elected to file a Three month extension, to extend the time for response to 04/03/2001.

The Applicant replied within the period and included a (a) three-month petition fee of \$445.00, (b) the Missing Parts fee of \$50.00 for a for a Provisional Patent Application, (c) including applicants address declaration and power of attorney with applicants address However, it is noted herein, that the \$50.00 is a large entity fee, and therefore Applicant requests a refund.

By way of proof, Applicant includes the following copies:

Copies of the Post card which notes the fees, and papers that were filed with the Missing Parts Appln, Certificate of Mailing,

### Page 2 - Petition to Correct Filing Receipt

Copy of the Certificate of Mailing received from the Post Office certifying that the papers were deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner of Patents & Trademarks, Washington, D.C. 20231, with a date stamp of 04/03/01.

The United States Patent and Trademark Office responded to Applicants submission with fees, by sending a copy of an *Updated Filing Receipt* on 06/22/01 from the United States Patent & Trademark Office with the information supplied to the USPTO on 04/03/2001. A copy is herein supplied. Applicant relied on the information in *Updated Filing Receipt*, and was not apprised otherwise.

Therefore, Applicant respectively requests an Updated Filing Receipt to correct this matter as soon as possible.

Sincerely

Lorraine M. Donaldson, Esq.

Reg. No. 30,939

Attorney for the Applicants

Correspondence Address:

281 Sea Forest Court Del Mar, CA 92014

Tel: 858-794-8610

Email: lmdon@earthlink.net

FEB 2 8 2002 a

WANTED A

1.12 1.12 (2) FEB 2 7 2002 &

Missing parts Appln for Provisional Appln Serial No. 60/225,228 filed 08/13/2000 APR 0 6 7000 Missparts Fee \$50.00 (Prov Appln.)
Petition For Extension of Time - Three Months Petition Fee \$445.00
Check in the amount of \$495.00

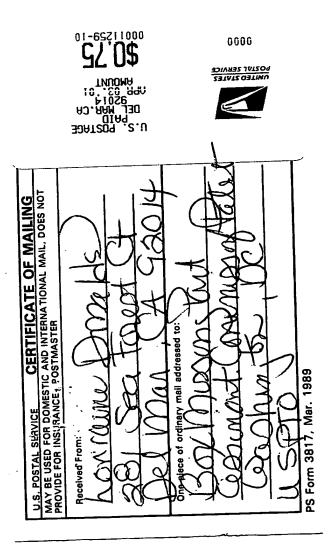
Power of Attorney with Inventors Addresses as required by Mssing Parts Notice

Two (2) Copies of Petition For Extension of Time Copy of Missing Parts Notice to be filed with response

Post Card with Acknowledge of information

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Page 1 of 3



## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE m. D.C. 2023

APPLICATION NUMBER

FILING DATE

GRP ART UNIT FIL FEE REC'D ATTY DOCKET NO DRAWINGS #0762

IND CLAIMS TOT CLAIMS

60/225,288

08/15/2000

100

**CONFIRMATION NO. 3693** 

UPDATED FILING RECEIPT

OC000000006217204\*

Lorraine M Donaldson Esq// 281 Sea Forest Court Del Mar, CA 92014



Date Mailed: 06/22/2001

Receipt is acknowledged of this provisional Patent Application. It will not be examined for patentability and will become abandoned not later than twelve months after its filing date. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Paul Dixon Ph D, San Bernardino, CA; Tim Usher Ph D, San Bernandino, CA;

If Required, Foreign Filing License Granted 11/02/2000

Projected Publication Date: N/A

Non-Publication Request: No

**Early Publication Request: No** 

\*\* SMALL ENTITY \*\*

**Title** 

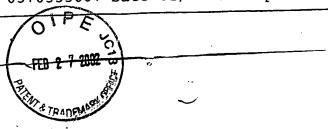
Method and apparatus for electronic instruction

Data entry by : DURHAM, DESHAWN

Team: OIPE

Date: 06/22/2001

1000 808 800 808 1000 WU 118 CUO 110 OTO 100 O



Page 1 of 3



# United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.LSpto.gov

APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE RECD ATTY DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS

60/225,288 08/15/2000 PF 75 #0762 7

Lorraine M Donaldson Esq
281 Sea Forest Court
Del Mar, CA 92014 PFRADE TRADE TRAD

Receipt is acknowledged of this provisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Paul Dixon Ph D, Residence Not Provided; Tim Usher Ph D, San Bernandino, CA;

**Continuing Data as Claimed by Applicant** 

**Foreign Applications** 

If Required, Foreign Filing License Granted 11/02/2000

Title

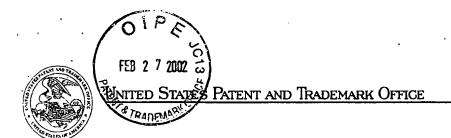
Method and apparatus for electronic instruction

**Preliminary Class** 

Data entry by : YON, LOWUAN

Team: OIPE

Date: 11/03/2000



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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO **DRAWINGS** TOT CLAIMS IND CLAIMS 09/930,000 08/14/2001 355 2943 11 16 **CONFIRMATION NO. 9097 FILING RECEIPT** Lorraine M. Donaldson, Esq. 281 Sea Forest Court OC000000006800007\* Del Mar, CA 92014

Date Mailed: 09/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer S rvice Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you rec ived a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

### Applicant(s)

Paul Dixon, Residence Not Provided; Tim Usher, Residence Not Provided;

### Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 60/225,228 08/14/2000 \* (\*) Data inconsistent with PTO records.

Foreign Applications

If Required, Foreign Filing License Granted 09/21/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Universal laboratory prototyping interface system

**Preliminary Class** 

361